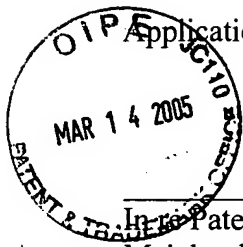


Receipt  
IFW



Application No.: 10/511529

Docket No.: 05587-00371-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Meinhard Gusik et al.

Application No.: 10/511529

Group Art Unit: 1722

Filed: November 15, 2004

Examiner: Not Yet Assigned

For: FILLED PELLETIZED MATERIALS MADE  
FROM HIGH- OR ULTRAHIGH-  
MOLECULAR-WEIGHT POLYETHYLENES  
AND PROCESS FOR THEIR PRODUCTION

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

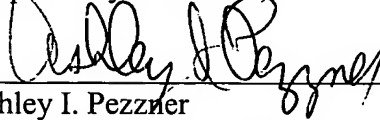
Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the filing date as evidenced by the enclosed copy of Transmittal Of Missing Requirements transmitting the Combined Declaration And Power Of Attorney to the PTO. Also enclosed is a copy of the PTO date-stamped return postcard. The filing date for this application should be November 15, 2004.

As the error was made by the Patent and Trademark Office, it is believed that no fee is due. Please credit our Deposit Account No. 03-2775 as necessary to effect entry of the attached corrections.

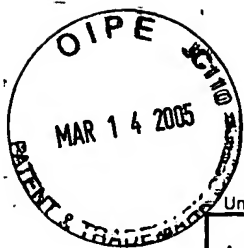
Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 03-2775, under Order No. 05587-00371-US from which the undersigned is authorized to draw.

Respectfully submitted

By   
Ashley I. Pezzner

Registration No.: 35,646  
CONNOLLY BOVE LODGE & HUTZ LLP  
1007 North Orange Street  
P.O. Box 2207  
Wilmington, Delaware 19899  
(302) 658-9141  
(302) 658-5614 (Fax)  
Attorney for Applicant



PTO/SB/92 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/511529

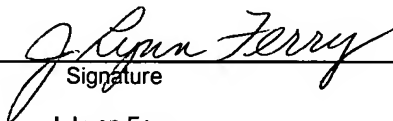
Attorney Docket No.: 05587-00371-US

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on March 10, 2005  
Date

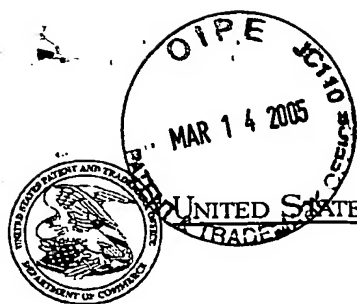
  
Signature

J. Lynn Ferry

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Request for Corrected Filing Receipt  
Copy of Transmittal Of Missing Requirements



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/511,529	02/07/2005	1722	1080	05587-00371-US		8	1

CONFIRMATION NO. 4568

## FILING RECEIPT



\*OC000000015257 652\*

Date Mailed: 03/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Meinhard Gusik, Oberhausen, GERMANY;  
 Stanislaw Haftka, Oberhausen, GERMANY;  
 Kerstin Luedtke, Hamminkeln, GERMANY;

**Power of Attorney:** The patent practitioners associated with Customer Number 23416.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/03903 04/15/2003

## Foreign Applications

GERMANY 102-17-232.3 04/18/2002

**Projected Publication Date:** 06/02/2005

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

Filled granulates consisting of high or ultra-high molecular weight polyethylenes and method for

producing said granulates

**Preliminary Class**

425

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Application No.: 10/511529



Docket No.: 05587-00371-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Meinhard Gusik et al.

Conf. No.: N/A

Application No.: 10/511529

Group Art Unit: N/A

Filed: October 15, 2004

Examiner: Not Yet Assigned

For: FILLED PELLETIZED MATERIALS MADE  
FROM HIGH- OR ULTRAHIGH-  
MOLECULAR-WEIGHT POLYETHYLENES  
AND PROCESS FOR THEIR PRODUCTION

**TRANSMITTAL OF MISSING REQUIREMENTS**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant encloses herewith the executed Combined Declaration And Power Of Attorney  
for this application.

Applicant has not received a Notification Of Missing Requirements for this application.

Please charge our Deposit Account No. 03-2775 in the amount of \$130.00 covering the  
fee set forth in 37 CFR 1.16(e). The Director is hereby authorized to charge any deficiency in  
the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

Application No.: 10/511529

Docket No.: 05587-00371-US

hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order  
No. 05587-00371-US:

Respectfully submitted,

By 

Ashley I. Pezzner

Registration No.: 35,646

CONNOLLY BOVE LODGE & HUTZ LLP

1007 North Orange Street

P.O. Box 2207

Wilmington, Delaware 19899

(302) 658-9141

(302) 658-5614 (Fax)

Attorney for Applicant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FILLED PELLETIZED MATERIALS MADE FROM HIGH- OR ULTRAHIGH-MOLECULAR-WEIGHT POLYETHYLENES AND PROCESS FOR THEIR PRODUCTION

the specification of which was filed on October 15, 2004 as Application No. PCT/EP03/03903.

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at **Connolly Bove Lodge & Hutz LLP**, 1007 North Orange Street, P.O. Box 2207, Wilmington, Delaware 19899, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

**FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☐ no such foreign applications have been filed

☒ such foreign application have been filed as follows:



**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
DE 102 17 232.3	DE	April 18, 2002	<u>  x  </u> Yes No <u>      </u>
			<u>      </u> Yes No <u>      </u>
			<u>      </u> Yes No <u>      </u>

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☒ no such U.S. provisional applications have been filed.

☐ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		<u>      </u> Yes No <u>      </u>
		<u>      </u> Yes No <u>      </u>
		<u>      </u> Yes No <u>      </u>

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☐ no such U.S./PCT applications have been filed.

☒ such U.S./PCT application have been filed as follows:

Application Number	Relationship	Parent Application	Date of Filing
This Application	National Stage	PCT/EP03/03903	April 15, 2003

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 23416

all of **Connolly Bove Lodge & Hutz LLP**, 1007 North Orange Street, P.O. Box 2207, Wilmington, Delaware 19899, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from TICONA GmbH as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Please mail all correspondence to Ashley I. Pezzner, whose address is:

**Connolly Bove Lodge & Hutz LLP**  
1007 North Orange Street  
P.O. Box 2207  
Wilmington, Delaware 19899

Please direct telephone calls to: Ashley I. Pezzner at (302) 658-9141.

Please direct facsimiles to: (302) 658-5614

Full name of sole or first inventor <b>Meinhard Gusik</b>	
Sole or first inventor's signature <i>Meinhard Gusik</i>	Date 4.11.04
Residence Oberhausen, Germany	
Citizenship <del>US</del> Germany	
Mailing Address Holtstegstrasse 41 46147 Oberhausen GERMANY	

Full name of second inventor, if any <b>Stanislaw Haftka</b>	
Second inventor's signature <i>Stanislaw Haftka</i>	Date 4.11.04
Residence Oberhausen, Germany	
Citizenship Germany	
Mailing Address Jahnstrasse 22 46145 Oberhausen GERMANY	

Full name of third inventor, if any <b>Kerstin <del>Ludtke</del> Luedtke</b>	
Third inventor's signature <i>Kerstin Luedtke</i>	Date 5.11.04
Residence Hamminkeln, Germany	
Citizenship <del>US</del> Germany	
Mailing Address Lessingstrasse 4 46499 Hamminkeln GERMANY	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## Complete if Known

Application Number 10/511529  
Filing Date October 15, 2004  
First Named Inventor Meinhard Gusik  
Examiner Name Not Yet Assigned  
Art Unit N/A  
Attorney Docket No. 05587-00371-US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

03-2775

Deposit Account Name

Connolly Bove Lodge & Hutz LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type) Ashley I. Pezzner

Registration No. (Attorney/Agent)

35,646

(Complete (if applicable))

Telephone (302) 658-9141

Signature

*Ashley I. Pezzner*

Date

11/15/04



**Atty Docket No.:** 05587-00371-US

**Inventor:** Meinhard Gusik et al.

**Application No.:** 10/511529

**Filing Date:** October 15, 2004

**Title:** FILLED PELLETIZED MATERIALS MADE FROM HIGH- OR ULTRAHIGH-MOLECULAR-WEIGHT POLYETHYLENES AND PROCESS FOR THEIR PRODUCTION

**Documents Filed:**

Transmittal Of Missing Requirements

Combined Declaration and Power of Attorney

Fee Transmittal

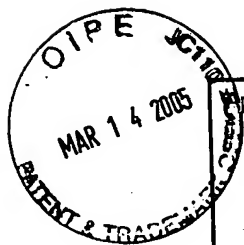
Charge \$130.00 to deposit account 03-2775

**DT07 Rec'd PCT/PTO 15 NOV 2004**

**Via:** Express Mail: Airbill No. EV473534894US

**Handling Atty:** AIP/jlf

**BEST AVAILABLE COPY**



Application No. (if known): 10/511529

Attorney Docket No.: 05587-00371-US

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV473534894US in an envelope addressed to:

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P.O. Box 1450  
Alexandria, VA 22313-1450

on November 15, 2004  
Date

  
Signature

J. Lynn Ferry

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Of Missing Requirements  
Combined Declaration and Power of Attorney  
Fee Transmittal  
Charge \$130.00 to deposit account 03-2775